

ACCESS TO INFORMATION MANUAL

**As required by Section 52 of the Promotion of
Access to Information Act 2 of 2000 ("PROATIA").**

FOR

**AFRICA ETF ISSUER (RF) LIMITED
(the "Company")
REGISTRATION NUMBER: 2013/022008/06**

INDEX

1. Introduction.
2. Company Details [Section 51 (1) (a)].
3. Human Rights Commission's 'PROATIA' Guide [Section 51(1) (b)].
4. Applicable Legislation [Section 51 (1) (c)].
5. Schedule of Categories of Records –Annexure A [Section 51 (1) (d)].
6. Form of Request - Annexure B [Section 51 (1) (e)].
7. List of Entities - Annexure C [Section 51 (1) (f)].
8. List of Fees – Annexure D [Section 52 (3)].

INTRODUCTION

The object of PROATIA is to give you access to the records of the Company under certain circumstances. PROATIA gives effect to the provisions of Section 32 of the Constitution, which provides for the right of access to information held by the State and to information held by another person that is required for the exercise and / or protection of any right.

The reference to any information in addition to that specifically required in terms of Section 51 of the Act does not create any right or entitlement (contractual or otherwise) to receive such information, other than in terms of the Act.

PROATIA seeks to promote a society in which you have access to information to enable you to exercise and protect your rights, and to promote a culture of transparency and accountability. Section 51 of PROATIA requires all private bodies to compile a manual containing various information including:

- the company details
- a description of the records of the company
- means by which a record may be accessed.

The Company is a private body and therefore this manual has been compiled in terms of and in order to comply with Section 51 of PROATIA. Africa ETF Issuer (RF) Limited is the duly authorised head of the Company (as defined in the Act)

COMPANY DETAILS

In terms of Section 51 (1) (a) The Company's details are as follows –

Registration Number	2013/022008/06
Postal Address	PO Box 781396,Sandton,Gauteng,2146
Telephone Number	N/A – Company is a special purpose entity
Facsimile Number	N/A – Company is a special purpose entity
General contact Email address for the company	N/A – Company is a special purpose entity
Email address for heads of the Company	N/A – Company is a special purpose entity
Website	N/A

HUMAN RIGHTS COMMISSION'S 'PROATIA' GUIDE

In Terms of Section 10 of PROATIA, the South African Human Rights Commission ("SAHRC") is required to compile a guide, in each official language, containing information for any person who wishes to exercise any right contemplated in PROATIA. Please direct any queries to:

The South African Human Rights Commission:

PROATIA Unit

The Research and Documentation Department

Postal address: Private Bag 2700
Houghton
2041

Telephone: +27 11 484-8300

Fax: +27 11 484-0582

Website: www.sahrc.org.za

E-mail: paia@sahrc.org.za

Date of Revision: 30 August 2017

RECORDS OF THE COMPANY

A description of the records held by the Company, as required by section 51(1)(e) of PROATIA, is set out in the Description of Records Table which is attached to this manual as Annexure A.

REQUEST FOR ACCESS TO A RECORD

In order to comply with our obligations in terms of PROATIA, please complete the Request for Access Form which is available at www.sahrc.org.za and submit it to Africa ETF Issuer (RF) Limited at its postal address provided above.

Form of request:

- The requester must use the prescribed form to make the request for access to a record. This must be made to the head of the private body. This request must be made to the address, fax number or electronic mail address of the body concerned [s 53(1)].
- The requester must provide sufficient detail on the request form to enable the head of the private body to identify the record and the requester. The requester should also indicate which form of access is required. The requester should also indicate if any other manner is to be used to inform the requester and state the necessary particulars to be so informed [s 53(2)(a) and (b) and (c)].
- The requester must identify the right that is sought to be exercised or to be protected and provide an explanation of why the requested record is required for the exercise or protection of that right [s 53(2)(d)].
- If a request is made on behalf of another person, the requester must then submit proof of the capacity in which the requester is making the request to the satisfaction of the head of the private body [s 53(2)(f)]

However, please note that recording a category or subject matter in this Manual does not imply that a request for access to such records would be honoured. All requests for access will be evaluated on a case by case basis in accordance with the provisions of the Act.

INFORMATION AVAILABLE IN TERMS OF OTHER LEGISLATION

In terms of Section 51 (1)(c) the Company may hold information in accordance with the following legislation:

1. Administration of Estates Act, No. 66 of 1965
2. Alienation of Land Act No. 68 of 1961
3. Arbitration Act No. 42 of 1965
4. Banks Act No. 94 of 1990
5. Basic Conditions of Employment No. 75 of 1997
6. Companies Act No. 61 of 1973
7. Compensation for Occupational Injuries and Health Diseases Act No. 130 of 1993
8. National Credit Act No. 34 of 2005
9. Currency and Exchanges Act No. 9 of 1933
10. The Custody and Administration of Securities Act No. 85 of 1992
11. Debt Collectors Act No. 114 of 1998
12. Employment Equity Act No. 55 of 1998
13. Financial Services Board Act No. 97 of 1990
14. Financial Advisory and Intermediary Services Act 37 of 2002
15. Financial Relations Act No. 65 of 1976
16. Harmful Business Practices Act No. 23 of 1999
17. The Home Loan and Mortgage Disclosure Act No. 63 of 2000
18. Income Tax Act No. 95 of 1967
19. Insolvency Act No. 24 of 1936
20. Insurance Act No. 27 of 1943
21. Labour Relations Act No. 66 of 1995
22. Long Term Insurance Act No. 52 of 1998
23. Medical Schemes Act No. 131 of 1998
24. Occupational Health & Safety Act No. 85 of 1993
25. Pension Funds Act No. 24 of 1956
26. Post Office Act No. 44 of 1958
27. SA Reserve Bank Act No. 90 of 1989
28. Short Term Insurance Act No. 53 of 1998
29. Skills Development Levies Act No. 9 of 1999

30. Skills Development Act No. 97 of 1998
31. Stamp duties Act no. 77 of 1968
32. Stock Exchange Control Act No. 1 of 1985
33. Unemployment Contributions Act No. 4 of 2002
34. Unemployment Insurance Act No. 63 of 2001
35. Usury Act No. 73 of 1968
36. Value Added Tax Act No. 89 of 1991

OTHER INFORMATION AS MAY BE PRESCRIBED

The Minister of Justice and Constitutional Development has not made any regulations in terms of section 51(f) of the Act.

DECISION REGARDING REQUEST

PROATIA provides for numerous grounds upon which the company may refuse to grant you access to a record of the company. These grounds for refusal are to protect –

- the privacy of another person
- commercial information of another company
- confidential information of another person
- the safety of individuals and property
- records privileged from production in legal proceedings
- research information

You will be notified in writing whether your request has been approved or denied within 30 calendar days after receipt by the company of the completed Request for Access Form. Should any record of the company requested by you not be found or not exist, the company will, by way of affidavit, notify you that it is not possible to give access to that particular record.

ACCESS TO RECORDS

If your request for access to records of the company is approved, access will be provided in the form as the company reasonable determines, unless you have requested access in a specific form.

FEES

Section 52(3) states that fees payable for access to records are to be prescribed. The prescribed fees are as set out in the Fee Schedule, which is available on the SAHRC website: www.sahrc.org.za

A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:

The fee that the requester must pay to a private body is R50. The requester may lodge an application to the court against the tender or payment of the request fee [s 54(3)(b)].

If the request is granted then a further access fee must be paid for the search, reproduction, and preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure [s 54(6)].

PLEASE TAKE NOTE: Any person including a department of state and a person acting on behalf of another person **request access to a record.**

**ANNEXURE A
DESCRIPTION OF RECORDS TABLE**

In terms of Section 14 and Section 51(1) (d) the following are records pertaining to the Company own affairs and those of its divisions, subsidiary and associated companies. The personnel records stated refers to any person who works for or provides services to or on behalf of the Company and receives or is entitled to receive any remuneration and any other person who assists in carrying out or conducting the business the Company. This includes, without limitation, directors, executive directors, non-executive directors, all permanent, temporary and part-time staff as well as contract workers.

CATEGORIES OF RECORDS FOR EACH SUBJECT	FORM HELD	AVAILABILITY
1. COMPANY SECRETARIAL RECORDS		
Company Incorporation	Electronic and physical	Not automatically available
Names of Directors	Electronic and physical	Automatically available
Salaries of Directors	Electronic and physical	Not automatically available
2. FINANCIAL RECORDS OF THE COMPANY		
Financial statements	Electronic and physical	Not automatically available
Documents relating to taxation of the company	Physical	Not automatically available
Financial Agreements	Physical	Not automatically available
Banking Details	Electronic and physical	Not automatically available
3. INSURANCE OF THE COMPANY		
Insurance Policies held by the Company	Physical	Not automatically available
Register of all immovable property owned by the company	Physical	Not automatically available
4. EMPLOYEES		
List of Employees	Electronic and physical	Not automatically available
Personal information of employees	Electronic and physical	Not automatically available
Employee contracts of employment	Electronic and physical	Not automatically available
Salaries of Employees	Electronic and physical	Not automatically available
Leave records	Physical	Not automatically available
5. COMPANY POLICIES AND DIRECTIVES		
Internal relating to employees and the company	Electronic and physical	Not automatically available
External relating to clients and other third parties	Electronic and physical	Not automatically available
6. AGREEMENTS OR CONTRACTS		
Standard Agreements	Physical	Not automatically available
Contracts concluded with customers	Physical	Not automatically available
Third party contracts (such as Service Level Agreements etc.)	Physical	Not automatically available
Office management contracts	Physical	Not automatically available

Supplier contracts	Physical	Not automatically available
7. REGULATORY		
Licenses or Authorities	Physical	Not automatically available
8. CUSTOMER INFORMATION		
Customer Details	Electronic and physical	Not automatically available
Contact details of individuals within customers	Electronic and physical	Not automatically available
Communications with customers	Electronic and physical	Not automatically available
9. PUBLISHED INFORMATION		
External Newsletters and Circulars	Electronic and physical	Not automatically available
Internal Newsletters and Circulars	Electronic and physical	Not automatically available
Information on the company published by third parties	Electronic and/or physical	Not automatically available
12. SYSTEMS, SOLUTIONS, INFORMATION TECHNOLOGY		
Intellectual property pertaining to solutions and products developed.	Electronic	Not available
Usage of solutions and products	Electronic and physical	Not automatically available
13. REFERENCE MATERIALS		
Newsletters and journals articles	Electronic and physical	Not automatically available
Magazines	Physical	Not automatically available
Newspaper articles	Physical	Not automatically available
Books	Electronic and Physical	Not automatically available

Please be aware that the Company is very concerned about protecting the confidential information of its customers. Please motivate any request for customer information very carefully, having regard to Sections 63 to 67 of the Act.

ANNEXURE B

REQUEST FOR ACCESS FORM <i>In terms of Section 51 (1) (a) OF The Promotion of Access to Information Act</i>			
NAME OF THE COMPANY TO WHOM THE REQUEST IS MADE			
[Insert full name of company]			
PARTICULARS OF PERSON REQUESTING ACCESS TO INFORMATION			
Surname		First names	
Identity number		E-mail address	
Telephone no.		Facsimile no.	
Postal address			
PARTICULARS OF PERSON ON WHOSE BEHALF REQUEST IS MADE (IF APPLICABLE)			
Surname		First names	
Identity number		E-mail address	
Telephone no		Facsimile no.	
Postal address			
PARTICULARS OF RECORDS REQUESTED			
REFERENCE (if applicable) :			
FORM OF ACCESS TO RECORD			
NOTES:			
a) Compliance with your request in the specified form may depend on the form in which the record is available.			
b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form.			
c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.			
<i>(Mark the appropriate shaded box with an X.)</i>			
1. If the record is in written or printed form:			
copy of record		inspection of record	
2. If the record consists of visual images: (this includes photographs, slides, video recordings, computer-generated images, sketches, etc.):			
view the images	copy of the images	transcription of the images	
3. If the record consists of recorded words or information which can be reproduced in sound:			
listen to the soundtrack		transcription of soundtrack(written or printed)	
4. If record is held on computer or in an electronic or machine-readable form:			
printed copy of information		derived from the record	copy in computer readable form(stiffy or compact disc)
If you requested a copy or transcription of a record (above), do you wish the copy or transactions to be posted to you?		YES:	NO:

If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 above state your disability and indicate in which form the record is required.

Disability:

Form in which record is required:

PARTICULARS OF RIGHT TO BE EXERCISED OR PROTECTED

EXPLANATION OF WHY RECORD IS REQUIRED FOR EXERCISE OR PROTECTION OF ABOVEMENTIONED RIGHT

NOTICE OF DECISION REGARDING REQUEST FOR ACCESS

You will be notified in writing whether your request has been approved /denied. If you wish to be informed in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

SIGNATURE

Signed at:

Date:

Signature of the Requester:

23/11/2017
