

## SERVICE PROVIDER LIST FOR LUXEMBOURG CLIENT CONSENT LETTERS

The client understands and acknowledges that MLSA, in procuring the Services from the Service Providers, may be required to disclose and transmit certain client information to the Service Providers in order to enable the Service Providers to perform the Services in accordance with the applicable professional standards.

### 1. The information that may be disclosed and transmitted by MLSA to the Service Providers may comprise:

- 1.1 The name, contact details, nationality, main business activity, salary details, overview of the client's employees, members of managing bodies and daily management, authorised representatives, suppliers, suppliers agreements and documents, client's underlying entities, client's agreements and documents, details of fee arrangements with Maitland, and any other information that has been provided by the client or the ultimate beneficial owners /officers/authorised representatives of the client to MLSA.
- 1.2 Transactions performed in the client's account with MLSA or contemplated transactions, contracts entered into with MLSA and any other information related to the client's relationship with MLSA.

### 2. Service providers shall include:

- 2.1 Maitland Entity Service Providers.
- 2.2 External (third party) providers related to platform services.

Name	Location of Processing / Hosting	Local Financial Services Regulator (s) – if a regulated entity	Access type	Type	Nature of data	Reason or Service	Phase 1/ Phase 2
Maitland Group South Africa (MGSA)	South Africa	The Financial Services Conduct Authority (FSCA)	Maitland Staff – Restricted access	Internal Service Provider	Client data will be handled by restricted Finance staff but not ICT	1. On premise Finance Staff for activities (Entity Accounting, Financial Administration, Financial Control, and Analysis)  2. On premise ICT Staff for: - Operational management of servers  - Exchange administration  - Application Administration	Phase 1

						<ul style="list-style-type: none"> <li>- Management and Support</li> <li>- Management of database services</li> <li>- Cloud Services</li> </ul>	
Maitland Central Services Limited (IoM) (MCSL)	Douglas - IOM		Maitland Staff – Restricted access	Internal Service Provider	Client data will be handled by restricted Finance staff but not ICT	<p>On premise ICT Staff for:</p> <ul style="list-style-type: none"> <li>- Operational management of servers</li> <li>- Exchange administration</li> <li>- Application Administration</li> <li>- Management and Support</li> <li>- Management of database services</li> <li>- Cloud Services</li> </ul>	Phase 1
LAB Group	Luxembourg		Controlled access	Platform Service Provider	No unauthorised access to data	<p>Managed Infrastructure and Network Services</p> <p>Managed Wintel Services</p> <p>Backup services</p>	Phase 1
Si Futures	South Africa		Data is securely stored and not accessible by Service Provider	Platform Service Provider	Data is securely stored and not accessible by Service Provider	<p>Management Services</p> <p>LAN &amp; WAN Connectivity links services to Maitland Sites</p>	Phase 1
NClose	South Africa		Data is securely stored and not accessible by Service Provider	Platform Service Provider	Data is securely stored and not accessible by Service Provider	<p>Managed Security Services</p> <p>Managed Vulnerability Scanning Service</p>	Phase 1
EUC Africa	South Africa		Data is securely stored and not accessible by Service Provider	Platform Service Provider	Data is securely stored and not accessible by Service Provider	Support of Citrix environment	Phase 1
ES365	South Africa		Data is securely stored and not accessible	Platform service provider	Data is securely stored and not accessible by Service Provider	Database administration activities	Phase 1 and Phase 2

			by Service Provider				
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07 October 2021